

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x	
<b>In re</b>	:
	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----x	
	<b>Chapter 11 Case No.</b>
	<b>08-13555 (SCC)</b>
	<b>(Jointly Administered)</b>
	<b>Ref. Docket Nos. 43735, 43881,</b>
	<b>43901</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 1, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
15<sup>th</sup> day of May, 2014

/s/ Panagiotis Caris  
Notary Public, State of New York  
No. 01CA6237515  
Qualified in Queens County  
Commission Expires March 21, 2015

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: RIVERROCK SECURITIES LIMITED  
TRANSFEROR: BBVA (SUIZA) S.A.  
ATTN: GUI GOYARD  
8-10 GROSVENOR GARDENS  
LONDON SW1W 0DH UNITED KINGDOM

Additional:

Transferee: ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP  
C/O ALDEN GLOBAL CAPITAL, LLC  
ATTN: ITHRAN OLIVACCE  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

Your transfer of claim # 51162-05 is defective for the reason(s) checked below:

Other Not enough money to complete the transfer.

Docket Number 43901

Date 03/31/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 1, 2014.

## **EXHIBIT B**

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

TIME: 18:30:50  
DATE: 05/01/14

PAGE: 1

Name	Address
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
BANQUE INTL. A LUXEMBOURG (SUISSE) SA, ZURICH	BANQUE INTERNATIONLE A LUXEMBOURG (SUISSE) SA BEETHOVENSTRASSE 48 PO BOX 2192 ZURICH CH-8022
CREDIT SUISSE	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019
CREDIT SUISSE	RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019
RIVERROCK SECURITIES LIMITED	TRANSFEROR: BBVA (SUIZA) S.A. ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
SWISSQUOTE BANK SA	CASE POSTALE 319 ATTN: NOZHA OVERGHI CHEMIN DE LA CRETAUX 33 GLAND CH-1196 SWITZERLAND
UBS AG	BAHNHOFSTRASSE 45 ZURICH CH-8001 ZURICH

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